# Mixed-basis cluster expansion for thermodynamics of bcc alloys

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To predict the ground-state structures and finite-temperature properties of an alloy, the total energies of many different atomic configurations  $\boldsymbol{\sigma} \equiv S_i; i=1, \ldots, N_{i}$  with N sites *i* occupied by atom A  $S_i=-1$ , or B  $S_i$  = +1, must be calculated accurately and rapidly. Direct local-density approximation (LDA) calculations provide the required accuracy, but are not practical because they are limited to small cells and only a few of the  $2^N$  possible configurations. The jfblixE0DBUESeQuester expansion(2000) Structure 2000 (0000) Struct

formalism for many fcc-based alloys. In this paper, we describe how to construct a deterministic, LDA-quality MBCE, i.e., how (i) and (ii) are addressed by a systematic assessment of the predictive power of a given CE within a set of input  $DH_{LDA}$  (cross validation<sup>21,22</sup>), and the iterative enlargement of the LDA input data base as a whole.<sup>10,22</sup> We extend the formalism to a *bcc-based* binary alloy, Mo-Ta. In addition to predicted ground states,<sup>23</sup> we address the system's finite-*T* thermodynamics (order-disorder transitions, short-range order, and random alloy limit) in relation to experimental work.<sup>24,25</sup>

### B. Why Mo-Ta

The most prominent group of fully bcc-based binary allovs (no known phases based on a different type of underlying lattice, e.g., fcc) is formed from the refractory elements Nb, Ta, Mo, and W, located in groups VA and VIA of the periodic system of elements. Figure 1 summarizes some of their pertinent properties.<sup>25–30</sup> The atomic size mismatch of all six possible binary alloys formed between them is below 5%. Their experimental phase diagrams show only continuous bcc (A2) solid solutions,<sup>31</sup> so it is not known if at lower T these form any long-range ordered compounds, or phase separate. Regarding short-range order in the solid solution, the only available experimental report pertains to Mo-Ta,<sup>24</sup> where x-ray diffuse scattering showed clear (100)-centric intensity for 21% and 37% Ta. For Mo-Nb,  $^{26}$  Mo-Ta,  $^{25}$  and Ta-W,<sup>27</sup> negative enthalpies of mixing were observed, with Mo-Ta giving the most negative value  $DH_{exp}$  AB =-114 meV. No experimental results are available for the remaining combinations, but a number of earlier semiempirical tight-binding-based calculations exist.<sup>28–30</sup> These sources agree upon a clearly less negative DH for Nb-W, and even slightly positive values for the in-group combinations Nb-Ta and Mo-W.

Where available, Fig. 1 also contains theoretical predictions regarding long-range order. Focusing on the two shortest pair interactions, the theoretical model of Sigli and Sanchez<sup>30</sup> predicted stable B2 order for Mo-Nb, Mo-Ta, and



FIG. 2. (Color online) Input structures and figures for the short-range real-space CE of Mo-Ta.

The pitfall of using a small number of intuitively selected structures and figures is the resulting lack of predictive power. This can be assessed by comparing its results with a fully converged cluster expansion of Mo-Ta, described in Sec. VI, which is based on 56 input structures. The important failures of the short-range CE are: (1) Its prediction errors



 $DH_{LDA}-DH_{CE}$  are much larger than typical intrinsic LDA errors. For instance, the short-range CE is off by 31 meV (17% of  $DH_{LDA}$ ), 31 meV (20%), and 44 meV (30%) for the C11<sub>b</sub> Mo<sub>2</sub>Ta , three structures  $C11_{b}$  MoTa<sub>2</sub>, and B11 MoTa, respectively. (2) The ground-state line of the short-range CE is quantitatively far from the converged CE [see Fig. 3(b)], by up to 24 meV. Furthermore, the shortrange CE misses all but the B2 ground state (missed six). (3) As pointed out by Laks *et al.*,<sup>20</sup> the limiting  $DH_{CE}$  of both elements phase separated on the same coherent lattice is wrong. In a short-range CE, the predicted  $DH_{CE}$  of  $A_m B_n$ superlattices must converge to zero with growing period. However, simple elasticity theory shows that  $DH_{f}$ , in fact, remains finite even for the fully phase-separated configuration, since both constituent element crystals must fit the same coherent underlying lattice. Even worse, the limiting  $DH_f$ may depend on superlattice orientation  $\hat{k}$ — this is known as the " $k \rightarrow 0$  singularity."

In principle, there are several reasons for the qualitative failures (1)–(3): (i) No information on coherency strain in the infinite superlattice limit is included. The short-range CE is strictly finite ranged and therefore cannot capture the  $k \rightarrow 0$ singularity. (ii) Unphysically few figures. Since the number of figures is limited by the number of input structures, the "cutoff" of relevant figures is mandated by fit technicalities rather than their physical decay with distance. (iii) Limited information on atomic relaxation. The short-range CE is based on high-symmetry ordered structures, which are prohibited by symmetry to relax, both with respect to unit-cell shape and internal coordinates. (iv) No measure of predictive power. The short-range CE lacks a quantitative criterion to assess the predictive power of its fitted interactions. (v) No mechanism to extract relevant input structures and figures. The short-range CE does not ensure either the suitability of its figure set to describe the material in question, or of its input structures to sample the configuration space optimally for a given material. As a consequence of (i)-(v), a shortrange CE approach may yield deceptively "converged" results with respect to ground states and interactions, but as we see, any coincidence with truly converged results is accidental. While an intuition-based approach which already includes other "usual suspect" structures such as  $C11_b$  would potentially come closer to the truth, (i)–(v) will nevertheless remain as qualitative issues. We will next discuss the conceived cure to problems (i)–(v).

# III. THE MIXED-BASIS CLUSTER EXPANSION METHOD: PREVIOUS IDEAS TO OVERCOME DIFFICULTIES (I)-(V)

## A. Correcting for coherency strain in the superlattice limit

We correct the " $k \rightarrow 0$ " singularity of the long-period superlattice limit as described in Refs. 10, 20, and 41. We set  $E_{\text{ref}}$  of Eq. (3)

$$\mathcal{D}E_{\text{ord}}^{\text{rel}} \boldsymbol{\sigma}' = \mathcal{Q}_{S',S} D \mathcal{H}_{\text{CE}}^{\text{rel}} \boldsymbol{\sigma} - D \mathcal{H}_{\text{CE}}^{\text{unrel}} \boldsymbol{\sigma} .$$
 12

So, the relaxation energy of the random alloy is a weighted superposition of relaxation energies of ordered compounds

$$\mathcal{O}E_{\text{rand}}^{\text{rel}} x = \mathcal{Q}_{\text{rand}} \boldsymbol{\sigma} \quad \mathsf{D}H_{\text{CE}}^{\text{rel}} \boldsymbol{\sigma} - \mathsf{D}H_{\text{CE}}^{\text{unrel}} \boldsymbol{\sigma} \quad . \quad 13$$

Constituent strain is implicit in this equation as a piece due to long-range relaxation, and would appear as an additional term in the actual MBCE formalism.

Recently, Ruban et al.44 proposed a simplified theory of relaxation for the random alloy alone, based on breaking down each configuration into the smallest possible tetrahedra that allow for a space-filling tiling of the alloy ("effective tetrahedron model," ETM). Their approximation for  $\mathcal{O}E_{rand}^{rel}$  consists of three steps: First,  $\mathcal{O}E_{rand}^{rel}$  is written as a sum only of volume energy changes of all inequivalent tetrahedra in a given structure. Second, the volume deformation energy for a particular tetrahedron  $A_{4-n}B_n$   $n=0,\ldots,4$  is approximated by the volume deformation energy of a crystal structure that consists exclusively of this tetrahedron type. Third, the relaxed volume of each tetrahedron type  $A_{4-n}B_n$  in the random alloy at composition x is estimated from a harmonic spring model. For fcc, we have five tetrahedra corresponding to fcc  $A_4$  ,  $L1_2 \ A_3B$  ,  $L1_0 \ A_2B_2$  ,  $L1_2 \ AB_3$  , and fcc  $\ B_4$  , respectively. On the bcc lattice, there are two inequivalent forms for  $A_2B_2$ , i.e.,  $n=1,\ldots,6$  inequivalent tetrahedron decorations  $A_{4-n}B_n$ , which correspond to the six structures pure bcc (A and B),  $DO_3$  (A<sub>3</sub>B and AB<sub>3</sub>), B2 A<sub>2</sub>B<sub>2</sub>, and B32  $A_2B_2$ . The complete ETM expression thus resembles Eq. (13), but with the sum limited to the six specific highsymmetry configurations, and  $Q_{\text{rand}} \leq$  replaced by the Bernoulli probability  $p^n x$  to find a given tetrahedron decoration n at composition x

$$\mathcal{O}E_{\text{rand}}^{\text{rel},\text{ETM}} x = \int_{n=1}^{6} p^n x E^n V_{\text{rel}}^n x - E^n V_{\text{unrel}}^n x$$

Here,  $V^n x$  denotes the volume of a tetrahedron of structure *n*, but equilibrated in a random alloy of volume  $V_{\text{rand}} x$ .  $V^n x$  is approximated by calculating diatomic lengths A-A, A-B, and B-B in the random medium (with bulk moduli instead of atomic force constants), and combining these to get the total volume of each tetrahedron type.  $E^n V_{\text{rel}}^n x$  is the value of the equation of the state of structure *n* at the *equilibra*.46822 1 Tf 02.947(lf 0.4639 0 0 Tof)- 9.97 0 E

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### E. Selection of input structures and interactions

Regarding point (v), the choice of pair figures is facilitated by the constrained fit Eq. (8) above. However, in earlier work<sup>9,10,13,16,18</sup> the choice of relevant many-body figures required tedious comparison of predicted and actual properties of an alloy to ensure that the optimum fit had been chosen. In this process, additional LDA input structures were introduced as needed, e.g., as ground-state structures of some previous prediction, but might also be excluded again if they were too high in energy and could not be fit accurately.<sup>10</sup>

# IV. OPTIMIZED APPROACH TO THE SELECTION OF INPUT STRUCTURES AND FIGURES

### A. Leave-many-out cross-validation

As mentioned above, the most promising technique to judge the predictive power of a CE from *within* a given set of input  $DH_{LDA} \sigma$  [problem (iv)] is *cross-validation*. However the two previously used approaches may either be prone to overoptimization (HOS-CV

bic bcc unit cell) give sufficient accuracies wherever formation enthalpies can be calculated using equivalent k grids for elements and compounds.<sup>55</sup> In a few cases, the equivalent k-point method proves impractical (e.g., for 13-atom unit cells); here, k-grid convergence was achieved explicitly, using Blöchl's tetrahedron method<sup>56</sup> for Brillouin zone integration. The most significant approximation (

### V. DETERMINISTIC CLUSTER EXPANSION OF MO-TA

### A. Constructing the MBCE input: LDA calculations

To obtain the MBCE parameters defined in Eq. (3) as described in the preceding section, we require two distinct types of input from total-energy calculation: The formation enthalpies  $DH_{\rm LDA} \sigma_{,3}$  for a set of selected input configurations  $\sigma$  including full structural relaxation, and the corresponding constituent strain contribution  $E_{\rm ref} \sigma$ .

Total energies  $E_{tot}$  for elemental Mo, Ta, and their compounds were obtained in the LDA to density-functional theory, using the momentum-space total-energy method<sup>48</sup> as implemented in the VASP program package.<sup>49,50</sup> Mo and Ta were represented by projector augmented wave (PAW) potentials<sup>51,52</sup> including 4p and 5p semicore states, respectively, together with the exchange-correlation functional of Perdew and Zunger.<sup>53</sup> The momentum-space basis sets (plane-wave cutoff energy  $E_{cut}$  and grid of k points) were chosen to give converged formation enthalpies of meV accuracy, as illustrated in Table I. All compound geometries were fully relaxed according to their symmetry, including both cell-external (lattice parameter and shape) and internal (atomic coordinates) degrees of freedom.

Table I gives basis set and *k*-point convergence tests for the simplest compound structure, B2 MoTa. These tests show  $E_{\text{cut}}=250 \text{ eV}$  to be sufficient. Likewise, Monkhorst-Pack<sup>54</sup> *k*-point grids of 12312312 or denser (pertaining to the cuIV lists all LDA-calculated input structures for Mo-Ta, together with their formation enthalpies and the iteration in which they were first introduced. The input for iteration 1 consisted of a set of 24 structures, marked "1" in the last column of Table IV. In iterations 2-5, the input set was increased to 34, 43, 49, and 56 structures, respectively, with the additions in each iteration also marked in Table IV. In each iteration, the pool of many-body figures from which candidate CE's were selected comprised 47 candidate clusters: 13 inequivalent three-body terms up to fifth-nearestneighbor maximum intersite separation, 27 inequivalent four-body figures up to fourth-nearest-neighbor maximum intersite separation, 4 inequivalent five-body figures up to third-nearest-neighbor intersite separation, and the smallest six-body figure, the octahedron (third-nearest-neighbor intersite separation). As examples, Fig. 6 shows the optimum many-body figures used for the final Mo-Ta MBCE: four three-body figures and one four-body figure, extending up to fifth-nearest-neighbor intersite separation at most. In a final step, the optimum CE of iteration 5 was refined once more using the same LDA data base, but by applying fit weights of 10 to the CE ground-state structures. This procedure improved the representation of this particularly interesting region of our without severe impact to other areas of the fit.

Figure 7 illustrates the LMO-CV score for the optimum MBCE of each iteration. LMO-CV scores for different iterations are not directly comparable numerically since the input structure set changes, and prediction sets are freshly chosen each time. Nevertheless, an interesting trend is apparent: the numerical values of  $s_{cv}$  do not fluctuate very much as the LDA structure base increases. Only the scatter of individual prediction set errors around their average is somewhat reduced.

It is interesting to compare the development of  $s_{\rm cv}$  [Eq. (15)] to that of the least-squares fit error,  $s_{\rm lsq}$  [Eq. (7)], tabulated in Table II for each successive iteration:  $s_{\rm lsq}$  is always clearly smaller than  $s_{\rm cv}$ , i.e., of little value to gauge a CE's predictive performance. To assess the latter, we can use our *a posteriori* knowledge of the complete LDA input set (Table IV in Appendix A). We may compare  $s_{\rm cv}$  of each iteration to the averaged prediction errors for those structures not yet in the LDA data base. These values are termed  $s_{\rm real}$  in Table II, and can be directly compared with  $s_{\rm cv}$ . While of the same order of magnitude,  $s_{\rm real}$ 

Ref. 59 when restricted to the nearest-neighbor-only approximation [Fig. 9(a)]: As expected for a second-order transition,  $DH_{nn-only}$ 

A2 states is theoretically well understood as a model secondorder transition. For this case, both the analytic (seriesexpansion) limit<sup>58</sup> and early Monte Carlo simulations<sup>59</sup> agree on a transition temperature  $k_BT_c$ =6.35 $J_{nn}$ . Since the nearest neighbor interaction  $J_{nn}$  is the clearly dominant term of our Mo-Ta MBCE [Fig. 8(a)], it would seem natural that a simple nearest-neighbor-only formula should give a good idea of the true A2-B2  $T_c$ . In this approximation,  $D_{nn}J_{nn}$ = 108 meV of Mo-Ta corresponds to a  $T_c$  of almost 2000 K. This conflicts with experiment, since the published phase diagram reports only a continuous A2 solid solution, and early x-ray diffraction measurements<sup>60</sup> revealed no superstructure for samples sintered either at 1773 K 5 h or 673 K 100 h . Ordering might have been inhibited at 673 K since diffusion in Mo-Ta is slow,<sup>61</sup> but should have been sufficiently fast at 1773 K.

This failure can be related to the neglected high-order pair and many-body interactions of real Mo-Ta. To verify this, we performed canonical Monte Carlo simulations using our converged MBCE Hamiltonian. We used Mo<sub>0.5</sub>Ta<sub>0.5</sub> supercells sized up to 3233232 unit cells, cooling down stepwise from the high-*T* solid solution into the B2-ordered regime, with 2000 or 4000 spin flips per site and step for proper equilibration. Figure 9 displays the resulting mixing enthalpy DH<sub>CE</sub> and the configurational heat capacity  $C_v$  for 16316316 supercells. The Monte Carlo simulation agrees with

$$DH_{\text{mix}} x, i = J_0 + 2x - 1 J_1 + 2x - 1^2 D_{\text{pair}} J_{\text{pair}} + 2x - 1^2 D_{\text{MB}} J_{\text{MB}} + \int_{V} DE_{\text{CS}}^{\text{eq}} \hat{k}, x d^2$$

metric B2 MoTa and a nearest-neighbor-only model in Fig. 9(a).

Experimentally, diffuse intensity measurements along the (000)-(400) line on Mo-Ta for x=21%, 37%, and 91% have been reported by Predmore and Arsenault.<sup>24</sup> These authors presented uncorrected diffraction data (i.e.,  $\partial_{SRO}$  is overlaid by fundamental Bragg peaks, thermal scattering, lattice distortion, etc.)

\_  cluster expansion of Mo-Ta. Both direct LDA calculations and the fitted cluster expansion formation enthalpies are listed. Structures are defined either by a common name, or in a superlattice notation. For the cases where neither nomenclature exists, the actual lattice occupation is described in Appendix B.

# APPENDIX B: DEFINITION OF NONSUPERLATTICE LDA INPUT STRUCTURES IN TABLE IV

The present section defines those LDA input structures (Table IV) which have no common name, and cannot be described by a superlattice notation. To emphasize the connection between superstructure and underlying bcc lattice, atomic coordinates are given in Cartesian coordinates, in units of the (cubic) bcc lattice parameter, and without relaxation.

## 1. A<sub>8</sub>B

Description: This structure is a body-centered tetragonal "33331" defect cell of minority atoms embedded in the majority matrix.

Space group: I4/mmm (No. 139 in the International Tables for Crystallography<sup>66</sup>).

Primitive cell (Cartesian coordinates):

 $a_1 = 1.0, 0.0, 0.0$ ,  $a_2 = 0.5, 1.5, 1.5$ ,  $a_3 = 0.5, -1.5, 1.5$ Atomic coordinates (Cartesian coordinates):

### 2. A<sub>7</sub>B

Description: This structure is a primitive tetragonal defect cell of minority atoms embedded in the majority matrix, in a sequence of one  $c \ 2 \ 3 \ 2$  (100) AB plane followed by three pure A planes.

Space group: P4/mmm (No. 123 in the International Tables for Crystallography<sup>66</sup>).

Primitive cell (Cartesian coordinates):

 $a_1 = 1.0, -1.0, 1.0$ ,  $a_2 = 1.0, 1.0, 0.0$ ,  $a_3 = 0.0, 0.0, 2.0$ Atomic coordinates (Cartesian coordinates):

# 3. A<sub>12</sub>B<sub>4</sub>-I

Description: This is a body-centered tetragonal structure of (100)-oriented, pure B, and alternating AB columns embedded into an A matrix.

Space group:  $I4_1/amd$  (No. 141 in the International Tables for Crystallography<sup>66</sup>).

Primitive cell (Cartesian coordinates):

 $a_1 = 2.0, 0.0, 0.0$ ,  $a_2 = 0.0, 2.0, 0.0$ ,  $a_3 = 1.0, 1.0, 2.0$ Atomic coordinates (Cartesian coordinates):

# 4. A<sub>12</sub>B<sub>4</sub>-II

Description: This is a cubic structure with 23232 unit cell.

Space group:  $Pm\bar{3}m$  (No. 221 in the International Tables for Crystallography<sup>66</sup>).

Primitive cell (Cartesian coordinates):

Space group:  $R\bar{3}m$  (No. 166 in the International Tables for Crystallography<sup>66</sup>). Primitive cell (Cartesian coordinates):  $a_1=$ 

### 7. Mo<sub>4</sub>Ta<sub>12</sub>

Description: This structure can not be described by a superlattice notation. It has a simple tetragonal cell.

Space group:  $P4_2/mnm$  (No. 136 in the International Tables for Crystallography<sup>66</sup>). Pearson symbol: tP24 Unit-cell parameters (primitive cell): a=3.178 Å, b=9.152 Å, c=9.152 Å a=90.00°, b=90.00°, g=90.00° Fractional atomic coordinates:  $Mo_1: 0.000, 0.001, 0.001,$ Mo<sub>2</sub>: 0.000, 0.749, 0.749, Mo<sub>3</sub>: 0.500, 0.249, 0.501, Mo<sub>4</sub>: 0.500, 0.501, 0.249,  $Ta_1: 0.000, 0.008, 0.497$ , Ta<sub>2</sub>: 0.000, 0.253, 0.742, Ta<sub>3</sub>: 0.000, 0.255, 0.255, Ta<sub>4</sub>: 0.000, 0.495, 0.495, Ta<sub>5</sub>: 0.000, 0.497, 0.008, Ta<sub>6</sub>: 0.000, 0.742, 0.253, Ta<sub>7</sub>: 0.500, 0.242, 0.997, Ta<sub>8</sub>: 0.500,0.508,0.753, Ta<sub>9</sub>: 0.500, 0.753, 0.508,  $Ta_{10}$ : 0.500, 0.755, 0.995, Ta<sub>11</sub>: 0.500,0.995,0.755, Ta<sub>12</sub>: 0.500,0.997,0.242.

## APPENDIX D: DEFINITION OF BCC SPECIAL QUASIRANDOM STRUCTURES

The present section defines the body-centered cubic (special) quasirandom structures used to verify the MBCEpredicted random alloy enthalpy of mixing in Sec. VI C (Fig. 10). To emphasize the connection between superstructure and underlying bcc lattice, atomic coordinates are given in Cartesian coordinates, in units of the (cubic) bcc lattice parameter, and without relaxation.

# 1. SQS-16 A<sub>0.75</sub>B<sub>0.25</sub>

Description: This is the only bcc-based structure with 16 atoms per unit cell and x=25 which satisfies  $P_p \sigma = 0.25$  for the first four pair correlation functions. It has a base-centered monoclinic unit cell.

Space group: Cm (No. 8 in the International Tables for Crystallography<sup>66</sup>).

Primitive cell (Cartesian coordinates):

 $a_1 = 2.1, -2.0, 0.0$ ,  $a_2 = 1.0, 1.0, 0.0$ ,  $a_3 = 1.0, 0.0, 2.0$ Atomic coordinates (Cartesian coordinates):

# 2. SQS-16 A<sub>0.50</sub>B<sub>0.50</sub>

Description: There are no structures with less than 16 atoms per unit cell and x=0.5 which satisfy  $P_p \sigma = 0.0$  for the first five pair correlation functions, but twelve different 16-atom structures satisfy this criterion. The SQS selected here is subject to the additional criterion that the least-squares sum over some of the remaining, nonzero short-range correlation functions (

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