

2.



2.1 A: The single particle problem

1. The shape, size and composition of the dot are accepted as "input",

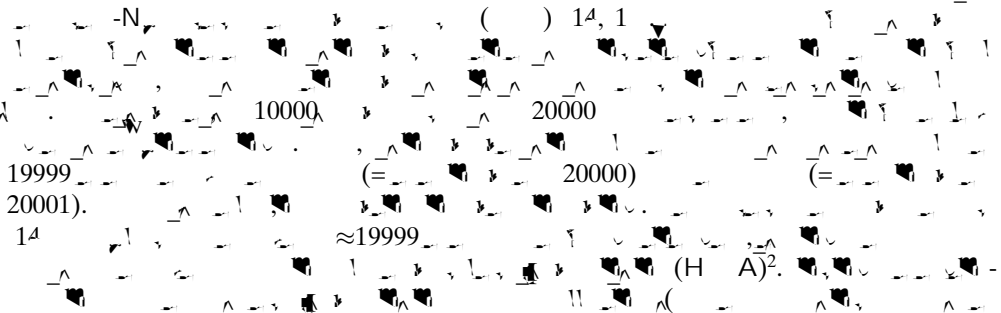
2. Atoms are relaxed to their strain-minimizing positions

3. The single-particle screened pseudopotential is fit to bulk solids:

$$V_{\text{w}} + V = \sum_{n,a} V_a(r - R_{n,a}). \tag{1}$$

4. The wavefunctions are expanded in plane-waves,

5. The pseudopotential-plane wave Hamiltonian is diagonalized incredibly rapidly

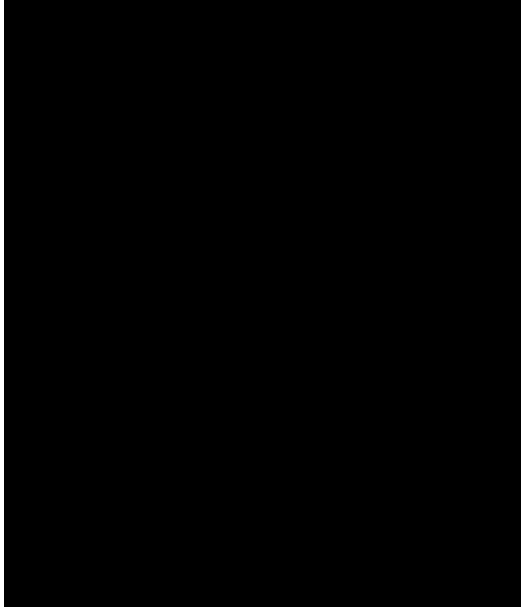


$$\int \psi^* \hat{H} \psi d\tau = \sum_{ij} c_i^* c_j \int \phi_i^* \hat{H} \phi_j d\tau = \sum_{ij} c_i^* c_j H_{ij} \approx 10^{-16} \text{ (A. 16)}$$

2.2. B: The many-body problem

1. Inter-electronic integrals are computed numerically:

$$J_{ij} = \int \psi_i^* \psi_j \psi^2 d\tau \quad K_{ij} = \int \psi_i^* \psi_j \psi^2 d\tau \quad \text{(A. 7A, A. 7B, A. 7C)}$$



3. Established an energy-level model for the “semiconductor embedded” self-assembled InAs/GaAs dots 23-29.

3.2 The main accomplishments to-date for the “intermediate-energy problems”

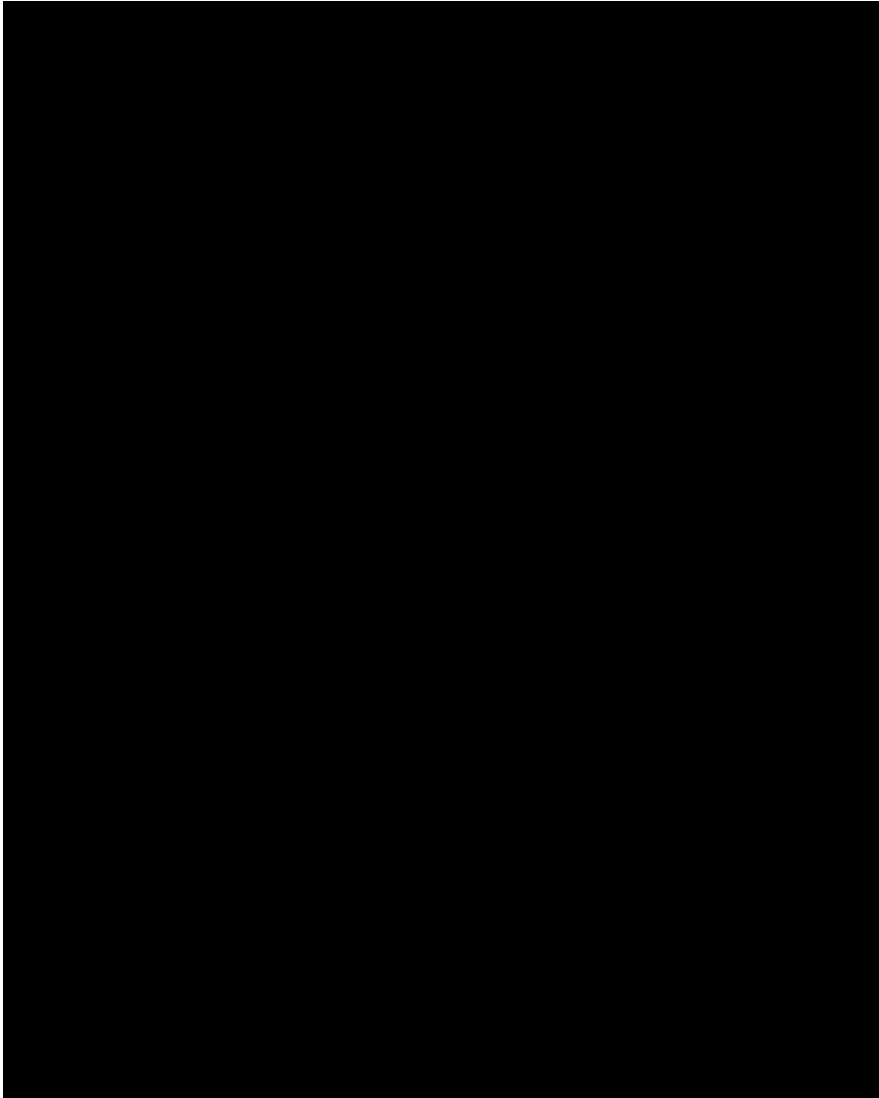
1. Predicted the electron-addition energies in freestanding InAs 30, 31 and CdSe 32 dots,

2. Established deviations from Hund’s rule as well as “spin-blockade” 32.

3.3 The main accomplishments to-date, for the “low-energy problems”

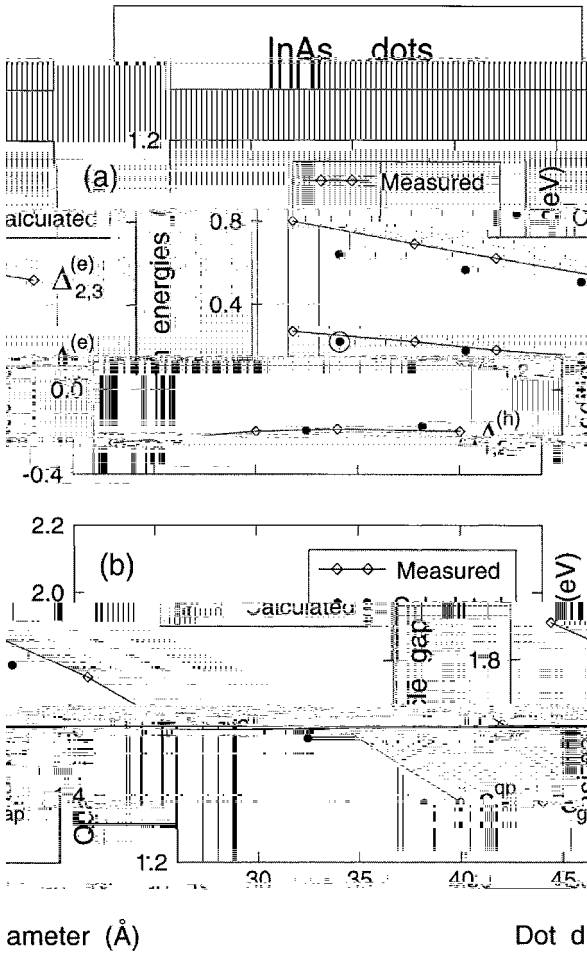
Established theory of electron-hole exchange in dots 9, 17.

$$\Delta_x \sim R^3$$



4. A, A; 23

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